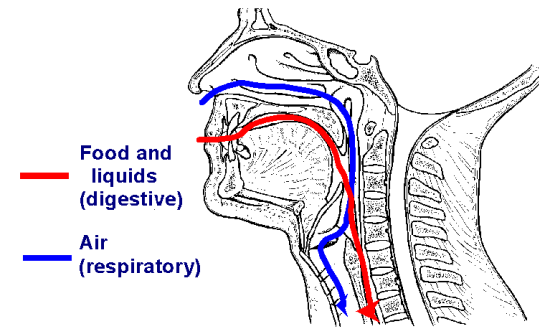


# Head & Neck Cancer

Changes in 2018

1

## Two Routes to Cover



2

## Head and Neck Risk Factors

- Tobacco (smoking, chewing, dipping snuff)
- Alcohol
- Chewing betel nut products (Asia & So Pacific)
- Men > women
- Blacks > whites
- Age > 45
- Environmental exposure to carcinogens
- Poor oral hygiene

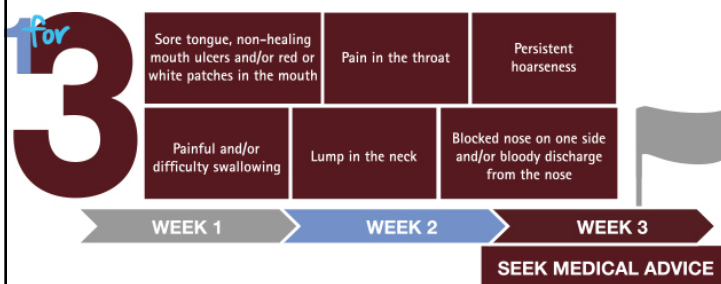
3

## Head and Neck Risk Factors cont.

- Lip
  - Sun exposure
  - Chronic irritation
  - Direct contact with tobacco
- Nasopharynx
  - Chinese/Asian ancestry
  - Epstein-Barr virus exposure
  - Familial clusters
- Salivary glands
  - Prior radiation to the head and neck

4

## Symptoms

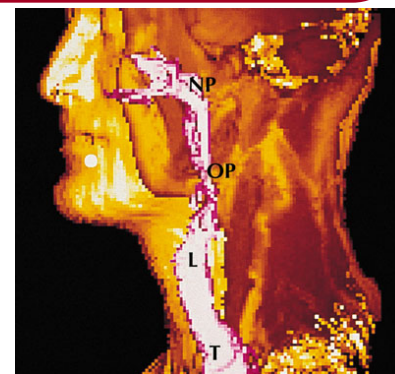


Makes sense campaign EHNS

5

## WORKUP

- PE
  - Palpation
  - Indirect mirror
- Radiology
  - CT, MRI, PET
- Biopsy
- Endoscopy



oto.wustl.edu

6

## Which Which Test is Best?

	CT scan	MRI	PET
P R O S	Rapid acquisition time Patient tolerance Superior bone detail	Multiple planes assess tumor volume Superior soft tissue resolution No IV contrast	Entire body May delineate questionable findings from other scans
C O N S	IV contrast with allergy concerns Poor soft tissue contrast Metallic dental appliances interfere	Patient movement distorts Bone detail inferior Longer time for patient Any metal may preclude	Cost Availability Equivocal results may not be helpful

## Field Cancerization

- “Histologically altered epithelium”
  - Multiple patches of pre-malignant disease
  - Prevalence of multiple local second primary tumors
  - Presence of synchronous distant tumors
- Genetically related or Clonal



8

## Solid Tumor Rules 2018

### Equivalent Terms and Definitions

9

## Head and Neck Cancer Factoids

- 15% H/N patients have second primary at diagnosis
- 10-40% develop subsequent primary
- Most common pathology in H&N area?

10

## Changes from the 2007 MPH Rules

- New sites added
  - 2 bone sites, mandible C410 and maxilla C411
  - External ear C442
  - Autonomic nervous system C479 for paragangliomas which are reported as malignant
- Basal cell carcinoma and all non-malignant neoplasms are excluded

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## Equivalent or Equal Terms

- Adenocarcinoma; adenocarcinoma NOS; carcinoma; carcinoma NOS
- And; with (only when describing >1 histology in a single tumor)
- Contiguous; continuous
- Malignant hemangioendothelioma
- In situ; noninvasive; intraepithelial
- Malignant tumor; malignant mass; malignant lesion; malignant neoplasm
- Simultaneous; existing at the same time; concurrent; prior to first course treatment
- Site; topography
- Squamous cell carcinoma; squamous carcinoma; squamous cell epithelioma; epidermoid carcinoma
- Squamous cell carcinoma with sarcomatoid features; sarcomatoid squamous cell carcinoma
- Squamous cell carcinoma with verrucous growth pattern; squamous cell carcinoma
- Tumor; mass; tumor mass; lesion; neoplasm

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## Terms That are NOT Equivalent

- Component ≠ subtype/variant
  - Component only coded when pathologist specifies the component is a second carcinoma
- Squamous cell carcinoma with prominent keratinization 8070 ≠ keratinizing squamous cell carcinoma 8071
- Fibromyxosarcoma 8811 ≠ myxofibrosarcoma 8830
- Salivary gland adenocarcinoma 8140 ≠ salivary duct carcinoma 8500

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## Instructions for Coding Primary Site

1. Tumor board (specialty > general)
2. Tissue/path from resection or bx
  - Op report > addendum/comments on path > final dx > CAP protocol/summary
3. Scans (CT > MRI > PET)
4. Physician documentation
  - MR reference from original path, cytology, or scans > physician reference to primary site
5. Tables 2-10 when a single lesion overlaps 2 or more sites (compare histologies for each involved site)

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## Instructions for Coding Primary Site cont.

6. When primary site cannot be determined using previous instructions, code overlapping lesion as follows:
  - C028 Overlapping lesion of tongue (See Table 5 for subsites of the tongue)
  - C088 Overlapping lesion of major salivary glands (See Table 7 for specific salivary glands)
  - C148 Overlapping lesion of lip, oral cavity and pharynx
    - Note: Codes and terms for overlapping lesions C\_\_\_.8 are **not** included in the tables

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## Instructions for Coding Primary Site cont.

7. Code the NOS region
  - C069 Mouth NOS (See Table 5)
  - C089 Major Salivary Gland NOS (See Table 7)
  - C099 Tonsil NOS (See Table 6)
  - C109 Oropharynx NOS (See Table 6)
  - C119 Nasopharynx NOS (See Table 3)
  - C139 Hypopharynx NOS (See Table 4)
  - C140 Pharynx NOS (includes oro-, naso-, & hypopharynx)
  - C760 Head, face, or neck NOS (organs involved unknown/not documented)

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## Table 1. Contiguous Sites



Table removed from final rules with the following statement:

*This is a reference table currently under development. It is not used to determine multiple primaries or to assign histology.*

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## Tables 2 – 10 Site Groups

2. Nasal cavity, Paranasal sinuses
3. Nasopharynx
4. Pyriform sinus, Hypopharynx, Larynx, Trachea, Parapharyngeal space
5. Oral cavity, Mobile tongue
6. Oropharynx, BOT, Tonsils
7. Salivary glands
8. Odontogenic, Maxillofacial bone
9. Ear, External auditory canal
10. Paraganglioma C75.5

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## Structure of Tables 2-10 (Excerpt from Table 2)

Specific or NOS Term and Code	Synonyms	Subtypes/Variants
<b>Adenocarcinoma 8140</b>  <i>Note:</i> Adenocarcinoma intestinal-type of the sinonasal tract is morphologically similar to adenocarcinomas of the intestines	Adenocarcinoma non-intestinal type Low-grade adenocarcinoma Renal cell-like carcinoma Seromucinous adenocarcinoma TAC Terminal tubulous adenocarcinoma Tubulopapillary low-grade adenocarcinoma	Adenocarcinoma intestinal type (ITAC) <b>8144</b> Colloid-type adenocarcinoma <b>8144</b> Colonic-type adenocarcinoma <b>8144</b> Enteric-type adenocarcinoma <b>8144</b>
<b>Lymphoepithelial carcinoma 8082</b>	LEC Lymphoepithelioma-like carcinoma	
<b>Malignant peripheral nerve sheath tumor 9540/3</b>	Malignant neurilemmoma Malignant schwannoma MPNST Neurofibrosarcoma	

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## Table 11: Paired Sites

Laterality required for **all** sites listed on Table 11.

SEER **does** allow laterality code if NOT on the table  
 Rule **M5** – multiple primaries when tumors are on both sides

Paired Sites	Site Code
Frontal sinus	C312
Maxillary sinus	C310
Middle ear	C301
Nasal cavity (excluding nasal cartilage, nasal septum)	C300
Overlapping lesion of tonsil	C098
Parotid gland	C079
Sublingual gland	C081
Submandibular gland	C080
Tonsillar fossa	C090
Tonsillar pillar	C091
Tonsil NOS	C099

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## Solid Tumor Rules 2018

### Multiple Primary Rules

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## Head and Neck MP Rules

*Unknown if Single or Multiple Tumors*

**M1** Not possible to determine if single or mult tumors  
= single

*Single Tumor*

**M2** Single tumor = single

*Multiple Tumors*

**M3** Multiple if separate/non-contiguous tumor on both:

- Upper lip C000 or C003 AND lower lip C001 or C004 **OR**
- Upper gum C030 AND lower gum C031 **OR**
- Nasal cavity C300 AND middle ear C301

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## Head and Neck MP Rules cont.

**M4** Multiple when separate/non-contiguous tumors in sites that differ at 2<sup>nd</sup> CXxx or 3<sup>rd</sup> CxXx characters

**M5** Multiple when separate/non-contiguous tumors on both sides of a paired site

**M6** Multiple when subsequent tumor after being clinically dz free for > 5 yrs

- When recurrence < 5 yrs, clock starts over

**M7** Multiple when separate/non-contiguous tumors are 2 or more subtypes/variants in column 3 of appropriate table (2-10)

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## Head and Neck MP Rules cont.

**M8** Multiple when separate/non-contiguous tumors are on different rows in column 3 of appropriate table (2-10)

**M9** Single (the invasive) when in situ follows an invasive

**M10** Single (the invasive) when invasive ≤ 60 days after in situ

**M11** Multiple when invasive > 60 days after in situ

**M12** Single when separate/non-contiguous tumors are on same row in column 3 of appropriate table (2-10)

**M13** Single when none of rules 1-13 apply

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## Solid Tumor Rules 2018

### Histology Rules

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## Priority Order for Using Documentation to Identify Histology

Code the most specific histology from either resection or biopsy (usually subtype/variant).

1. Biomarkers
2. Tissue or Path report
  - Addendum/comments
  - Final dx
  - CAP Protocol
3. Metastatic tissue
4. Scans
  - CT > MRI > PET
5. Physician documentation
  - Tumor board
  - MR documentation referencing original path, cytology, or scan(s)
  - Physician reference to a type of cancer

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## Coding Multiple Histologies

### DO code when

- Exact term is documented
- Described as
  - Subtype
  - Type
  - Variant

### DO NOT code when:

- Architecture
- Differentiation\*\*
- Features (of)\*\*
- Foci; focus; focal
- Major/majority of
- Pattern(s)
- Predominantly
- *MAY use \*\* if specific code includes that term*

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## Coding Multiple Histologies

### Do NOT Code if modified by Ambiguous Terms

Apparent(ly)	Malignant appearing
Appears	Most likely
Comparable with	Presumed
Compatible with	Probable
Consistent with	Suspect(ed)
Favor(s)	Suspicious (for)
	Typical (of)

*SEER & CoC Manuals allow these terms for determining reportability ONLY*

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## Histology Rules – Single Tumor

**H1** Code histo when only 1 histo present

**H2** Code invasive histo when in situ and invasive in the same tumor

**H3** Code subtype/variant when NOS and a single subtype/variant of that NOS

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## Histology Rules - Multiple Tumors Abstracted as a Single Primary

**H4** Code histo when 1 histo type in ALL tumors

**H5** Code invasive histo when:

- ALL tumors have both invasive & in situ OR
- $\geq 1$  tumor is invasive and  $\geq 1$  tumor is in situ

**H6** Code subtype/variant when NOS and a single subtype/variant of that NOS in ALL tumors

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## SEER Summary Stage 2018

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## Regional Lymph Nodes for Head and Neck Primaries - Good News!

- Effective with AJCC 7th edition (2010), Level I- Level VII and “other” RLNs in the head and neck region were made regional for **all** head and neck subsites.
- For Summary Stage 2018, the head and neck nodes listed on the following 3 slides, which are RLNs for AJCC 8th edition, are also RLNs for **all** head and neck sites (C00-C14, C30-C33) and include *Single*, *Multiple*, *Bilateral* and *Contralateral* LNs.
- All SS2018 chapters use the **SAME** RLN list!

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## SEER Summary 2018 Regional LN for Head & Neck

### Level I

IA Submental  
IB Submandibular  
(submaxillary), sublingual

### Level II Upper jugular

Jugulodigastric  
Upper deep cervical  
IIA – anterior  
IIB – posterior

### Level III Middle jugular

Middle deep cervical

### Level IV Lower jugular

Jugulo-omohyoid  
Lower deep cervical  
Virchow node

### Level V Posterior triangle group

Posterior cervical  
Level VA Spinal accessory  
Level VB Transverse cervical, supraclavicular

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## SEER Summary 2018 Regional LN for Head & Neck cont.

### Level VI Anterior compartment group

Laterotracheal  
Paralaryngeal  
Paratracheal (above suprasternal notch)  
Perithyroidal  
Precricoid (Delphian)  
Prelaryngeal  
Pretracheal (above suprasternal notch)  
Recurrent laryngeal

### Level VII Superior mediastinal group (other mediastinal nodes are distant)

Esophageal groove  
Paratracheal (below suprasternal notch)  
Pretracheal (below suprasternal notch)

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## SEER Summary 2018 Regional LN for Head & Neck cont.

### Other Groups

Cervical NOS  
Deep cervical NOS  
Facial  
Buccinator (buccal)  
Mandibular  
Nasolabial  
Internal jugular NOS

Parapharyngeal  
Parotid  
Infra-auricular  
Intraparotid  
Periparotid  
Pre-auricular  
Retroauricular (mastoid)  
Retropharyngeal  
Suboccipital

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## Distinguishing In Situ vs Local for Lip, Oral Cavity, and Pharynx

PRIMARY SITE	ICD-O-3	MUCOSA (includes basement membrane)	SUBMUCOSA	MUSCULARIS PROPRIA	SEROSA
LIP	C00_	YES	YES	YES	NO
TONGUE ANTERIOR	C01_ C02-	YES	YES	YES	NO
GUM	C03_ C062	YES	YES (mucoperiosteum)	NO	NO
FLOOR of MOUTH	C04_	YES	YES	YES	NO
BUCCAL MUCOSA	C060, C061	YES	YES	YES	NO
HARD PALATE	C050	YES	YES	NO	NO
OTHER MOUTH	C058, C059, C068, C069	YES	YES	YES	NO

Table adapted from *Summary Stage 2018 Coding Manual v1.1*, page 6

Historically, CA “confined to mucosa” = 1; with SS2018, if tumor:

- Is confined to epithelium = 0, in situ
- has penetrated basement membrane = 1, local

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## New! Cervical LNs and Unknown Primary Tumors of Head and Neck

- Histologies: 8000-8700, 8720-8790, 8941, 9700-9701
- Schema Discriminator 1 Occult Head and Neck Lymph Nodes
- Primary Site: C760 Head, face & neck, NOS
- Codes 0, 1, 2, and 4 are not applicable

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## AJCC 8<sup>th</sup> Edition

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## New and Split Chapters

- Cervical LN and Unknown Primary (Ch 6)
- Oral Cavity (Ch 7)
  - **Removed** Lip (C00.0-C00.2, C00.6) - see Ch 15
- Pharynx chapter now divided into 3
  - Nasopharynx (Ch 9)
  - HPV-mediated (p16+) Oropharyngeal Cancers (Ch 10)
  - Oropharynx & Hypopharynx (Ch 11)

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## New and Split Chapters cont.

Cutaneous Squamous Cell Carcinoma of the Head and Neck (Ch 15)

Staged w/ Ch 15: cutaneous CSCC and all other non-melanoma skin carcinomas of the head and neck (except Merkel cell CA)

- External lip (C00.0 – C00.2)
- Commissure (C00.6)
- Skin of Lip (C44.0)
- External ear (C44.2)
- Other skin of face (C44.3)
- Skin scalp & neck (C44.4)
- Overlapping lesion of the skin (C44.8)

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## "T0" Categories in Head and Neck

- T0 = no primary tumor identified
  - **T0** only in **3** Head and Neck chapters
    - Nasopharynx (Ch 9) for EBV-related
    - Oropharynx (Ch 10) for HPV-mediated
    - Salivary Glands (Ch 12) - unique histology

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## Cervical LN & Unknown Primary Tumors Head & Neck cont.

### AJCC Chapter Selection

- If LN p16+, stage w/Oropharynx p16+ chapter; T = T0; site = C10.9
- If LN EBV+ (may also be p16+), stage w/Nasopharynx chapter; T = T0; site = C11.9
- If LN P16- and EBV- **OR** not tested, use Cervical LNs chapter (Ch 6)

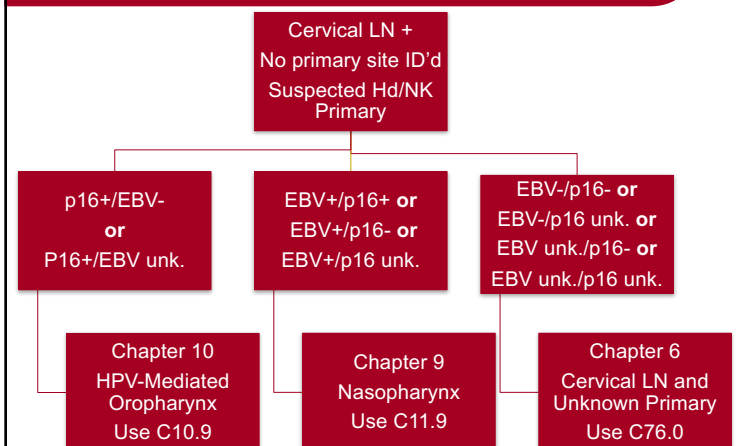
### Clinical Staging LN

- FNA, needle biopsy, excisional bx of a LN, SLN

Pathological Staging LN usually LN dissections

42

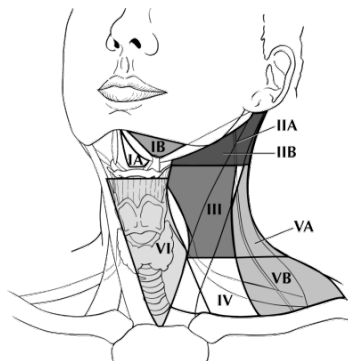
## Unknown Head and Neck Primaries Chapter & Topography Code Selection



## Head and Neck Lymph Nodes

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## Regional Lymph Nodes Head & Neck



Compton, C.C., Byrd, D.R., et al., Editors. AJCC Cancer Staging Atlas, 2nd Edition. New York: Springer, 2012. ©American Joint Committee on Cancer

- Need size of LN or LN masses (NOT mets)
- Midline = Ipsilateral
- Tables 5.1 and 5.2 in Ch 5, AJCC 8<sup>th</sup> edition define LN levels & boundaries

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## Regional Lymph Nodes Head & Neck cont.

- LN Groups Defined by Their Specific Anatomic Location
- Number of LNs are counted toward the N category; however, they are listed separately using the following descriptors.
  - Suboccipital
  - Retropharyngeal
  - Parapharyngeal
  - Buccinator (facial)
  - Supraclavicular
  - Preauricular
  - Periparotid and Intraparotid

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## LN Mets at Diagnosis

- Pyriform sinus – 70%
- Postcricoid area – 40%
- Posterior hypopharynx – 50%
- Nasopharynx – 75%
- Tonsil – 70%
- Base of tongue – 70%
- Soft palate – 30-65%
- Pharyngeal wall – 30-65%

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## Relationship Primary to Nodes

Submental (level I)	Anterior alveolar ridge, FOM, lower lip, anterior tongue,
Submandibular (level I)	Maxillary sinus, nasal cavity, oral cavity, submandibular gland
Level II	Nasal cavity, oral cavity, parotid gland, pharynx
Level III	Larynx, oral cavity, pharynx
Level IV	Cervical esophagus, hypopharynx, larynx
Level V	Nasopharynx, oropharynx
Level VI	Cervical esophagus, larynx (glottis, subglottis), pyriform sinus (apex), thyroid
Level VII	Thyroid

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## Assessment of Head and Neck RLNs

- Status of RLNs in head & neck cancers is of such prognostic importance, cervical LNs must be assessed for each patient and tumor
- Size of the nodal masses should be measured
- Histopathologic exam to exclude presence of tumor in LNs for pN0
- Pathological exam to document:
  - location or level of involved LNs
  - number of positive LNs
  - Presence/absence of ENE

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## Head and Neck LN Categories

- Standard LN definitions for all Head and Neck chapters **except** Chs 9, 10, and 14)
- **Separate** clinical and pathological N tables
- Chapter 9 – Nasopharynx
  - Uses **same** table for clinical and pathological N tables
- Chapter 10 – HPV-Mediated (p16+) OPC Cancers
  - **Separate** clinical and pathological N tables
- Chapter 14 – Mucosal Melanoma of the Head & Neck (same table NX, N0, & N1)

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## Definition of Head & Neck RLNs (Except Chs 9 and 10)

	ENE Neg			ENE Pos		
LN Size	≤ 3 cm	>3 – 6 cm	> 6 cm	≤ 3 cm	>3 – 6 cm	> 6 cm
IPSI						
Single	c/p N1	c/p N2a	c/p N3a	cN3b pN2a	c/p N3b	c/p N3b
Multi	c/p N2b	c/p N2b	c/p N3b	c/p N3b	c/p N3b	c/p N3b
Contra						
Single	cN2c pN2a	c/p N2c	c/p N3a	cN3b pN2a	c/p N3b	c/p N3b
Multi	c/p N2c	c/p N2c	c/p N3b	c/p N3b	c/p N3b	c/p N3b

## Clinical and Pathological RLN Categories – Nasopharynx (Ch 9)

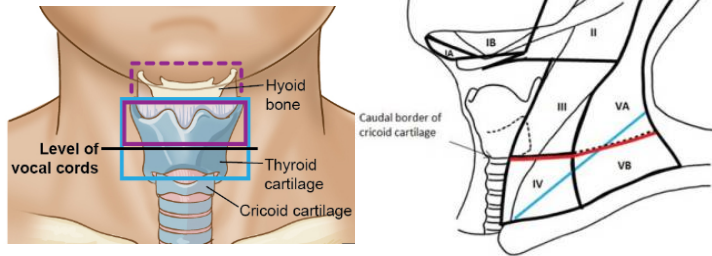
N	Criteria
NX	Regional LN cannot be assessed
N0	No regional LN mets
N1	Unilateral mets cervical LN, <b>and/or</b> unilateral/bilateral retropharyngeal LN, ≤ 6 cm, <b>above</b> caudal border of cricoid cartilage
N2	Bilateral mets in cervical LN, ≤ 6 cm, <b>above</b> caudal border of cricoid cartilage
N3	Unilateral or bilateral mets in cervical LN > 6 cm and/or extension <b>below</b> caudal border of cricoid cartilage

- N categories based on laterality (for N1 cervical LNs), location, and size.
- ENE does not matter.

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## Cricoid Cartilage

**N3** - below the caudal border of the cricoid cartilage



headandneckcancerguide.org/

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## Clinical & Pathological RLN Categories – HPV-Mediated OPC (Ch 10)

N	Clinical N Criteria	Pathological N Criteria
NX	RLN cannot be assessed	Regional LN cannot be assessed
N0	No RLN mets	No RLN mets
N1	≥ 1 ipsilateral RLN, ≤ 6 cm	Mets in ≤ 4 RLNs
N2	Contralateral or bilateral RLNs, ≤ 6 cm	Mets in > 4 RLNs
N3	LN(s) > 6 cm	

- **cN** based on LN laterality and size
- **pN** based on LN number
- ENE, laterality, or LN size don't matter
- Resected N3 behave same as N1, so no pN3 category

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## Definition of Clinical ENE (From Chapter 5)

- Unambiguous evidence of gross ENE on clinical examination
  - Invasion of skin,
  - Infiltration of musculature,
  - Dense tethering or fixation to adjacent structures, or
  - Cranial nerve, brachial plexus, sympathetic trunk, or phrenic nerve invasion with dysfunction
  - Matted nodes (per quiz on Donna Gress webinar 7/25/18)
- Supported by strong radiographic evidence
- Radiology **ALONE** cannot describe clinical ENE

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## Definition of Pathological ENE

- Extension of metastatic tumor (beyond the confines of the lymph node, through the lymph node capsule into the surrounding connective tissue, with or without associated stromal reaction).
- Data collection will take place for
  - ≤ 2 mm ENEmi - micro
  - > 2 mm ENEmi - major

Not required fields in CAP protocol 2018

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## Extranodal Extension Grading

0	1	2	3	4
				
Tumor confined to the substance of the lymph node (surrounded by lymphoid tissue)	Tumor reaching the capsule of the lymph node (no intervening lymphoid tissue) and with thickening of overlying capsule.	Tumor in perinodal tissue but extending ≤1mm beyond the lymph node capsule.	Tumor in perinodal tissue and extending >1 mm beyond the lymph node capsule.	Soft tissue metastasis. Tumor mass without residual nodal tissue or architecture (no germinal centers or subcapsular sinus).

[https://www.researchgate.net/publication/51246292\\_Extracapsular\\_extension\\_is\\_a\\_poor\\_predictor\\_of\\_disease\\_recurrence\\_in\\_surgically\\_treated\\_oropharyngeal\\_squamous\\_cell\\_carcinoma](https://www.researchgate.net/publication/51246292_Extracapsular_extension_is_a_poor_predictor_of_disease_recurrence_in_surgically_treated_oropharyngeal_squamous_cell_carcinoma)

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## pN - No Resection of Primary Tumor

If no resection of primary T, but NECK LN dissection done, then pTX pN \_\_ used in pathological staging fields

- ONLY Ch 7 (Oral cavity), Ch 8 (Major salivary glands), Ch 11 (Oropharynx p16 neg & Hypopharynx)
  - Phrase under "Pathological Classification" of "allows the designation for pT **and/or** pN respectively"
  - Provides docs info about neck dissections
- Other Hd/Nk chapters require complete resections of primary AND LN for pathological staging.

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## Other Special Things About the Head and Neck Chapters

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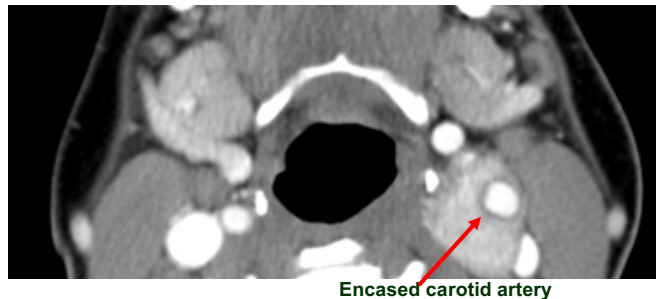
## Encasing Carotid Artery

- For larynx, pharynx, thyroid, and salivary gland tumor staging, tumor encasing carotid artery is T4b. What does "encases artery" mean?
  - Example: CT report says "the mass appears separate from the true cords laterally on the right, the mass partially encasing the common carotid artery involving approximately 40% on the circumference. Vascular invasion cannot be excluded. The artery remains patent."

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## Encasing Carotid Artery

- Encasing means “wrapped around” – not necessarily direct invasion
  - If carotid artery encased, tumor not resectable.



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## Encasing Carotid Artery

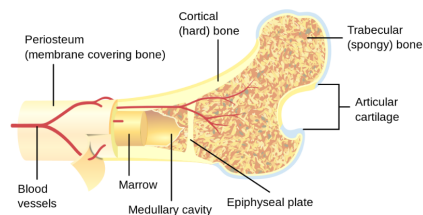
- In general, registry rules do not recognize encasement or abutment as involvement
- Terms indicating ‘probably not resectable’
 

Encasing	Encircling
Encompassing	Extending around
Inseparable from	Surrounding
Totally encasing	
- Terms indicating ‘borderline resectable’
  - Abuts

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## Cortex bone

- Deep invasion of bone (through cortex) is T4a
- Into cortical bone of mandible but not through: T classification based on tumor size
- Through cortex into trabecular bone of mandible
  - **T4a**
  - **Trabecular = spongy = cancellous**



<http://www.wikiwand.com/en/Bone>

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## Head and Neck Chapter Tour

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## Cervical LN & Unknown Primary Tumors of Head & Neck – Ch 6

Use Chapter 6 when:

- Cervical LNs are involved
    - Distant mets may also be present
  - Tumor is **NOT** EBV- or HPV-related
  - Primary tumor is not identified but suspected to be in the head and neck
- Do NOT use Ch 6 when distant mets but no involvement of cervical LNs.

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## Cervical LN & Unknown Primary Tumors Head & Neck cont.

- Clinical Staging LN
  - Imaging, FNA, needle biopsy, SLN, excisional bx LN
- Pathological Staging LN
  - Adequate LN dissection usually 15 LN
    - If neck dissection, all LN negative, but < 15 LN it still equals **pN0**
- Micromets - ≤ 2 mm deposits in LN
  - Positive LNs for the definition of pN
  - Designated pN1(mi), pN2b(mi), pN2c(mi)

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## Cervical LN & Unknown Primary Tumors Head & Neck cont.

### Clinical staging

- No cervical LN dissection

### Pathological staging

- Cervical LN dissection performed

### Prognostic Stage Groups

T	N	M	STAGE
T0	N1	M0	III
T0	N2	M0	IVA
T0	N3	M0	IVB
T0	Any N	M1	IVC

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## Oral Cavity – Chapter 7 (C00.3-5, .8, .9, C02-C06)

- C00.0, C00.1, C00.2, & C00.6, (external lip & commissure) **removed** from chapter
- Depth of Invasion (DOI) used in conjunction with size for cT and pT
- Uses “standard” Head and Neck LN tables for cN and pN categories
- No T0

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## Case Scenario 1

CC: Swelling in neck and feeling of fullness in throat.  
 PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III. Remainder of external exam WNL.  
 X-rays and Scans: CXR: Negative. CT: Negative  
 Panendoscopy: No lesions identified.  
 Op Note: Bx Rt neck mass.  
 Path: Rt neck mass core bx: PD keratinizing squamous carcinoma involving LN; p16 (-), EBV (-).  
 Plan: XRT and chemotherapy for unknown primary of the head and neck involving bilateral LNs.

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## Pop Quiz

- What AJCC Chapter?
  - Cervical LNs and Unknown Primary
  - Nasopharynx
  - HPV-Mediated Oropharynx
  - Oropharynx and Hypopharynx
- What primary site code do we assign?
  - C10.9
  - C11.9
  - C14.8
  - C76.0

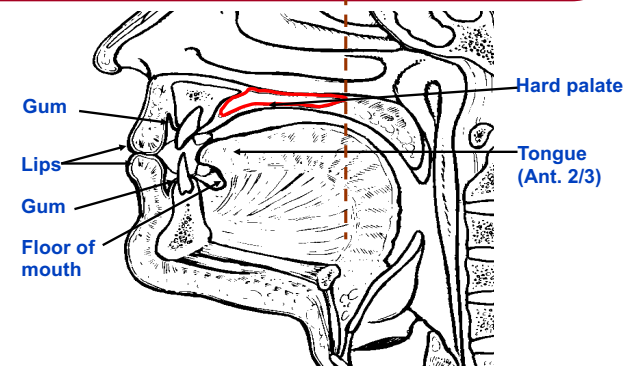
70

## Oral Cavity – Errata (1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> printing)

<b>T1:</b> Tumor ≤ 2 cm, ≤ 5 mm depth of invasion (DOI) DOI is depth of invasion and not tumor thickness.	<b>T1:</b> Tumor ≤ 2 cm <b>with depth of invasion (DOI)*</b> ≤ 5 mm
<b>T2:</b> Tumor ≤ 2 cm, DOI > 5 mm and ≤ 10 mm or tumor > 2 cm but ≤ 4 cm, DOI ≤ 10 mm	<b>T2:</b> Tumor ≤ 2 cm <b>with DOI*</b> > 5 mm or tumor > 2 cm <b>and</b> ≤ 4 cm <b>with DOI*</b> ≤ 10 mm
<b>T3:</b> Tumor > 4 cm or any tumor with DOI > 10 mm but ≤ 20 mm	<b>T3:</b> Tumor > 2 cm <b>and</b> ≤ 4 cm <b>with DOI*</b> > 10 mm or tumor > 4 cm <b>with DOI*</b> ≤ 10 mm
<b>T4a:</b> Moderately advanced local disease Tumor invades adjacent structures only. (more text here...)	<b>T4a:</b> Moderately advanced local disease Tumor > 4 cm <b>with DOI*</b> > 10 mm or tumor invades adjacent structures only (more text here...)

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## Lip & Oral Cavity Structures



Not shown: Cheek Mucosa, Retromolar Trigone

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## Structures of the Mouth

### ORAL CAVITY

Gum/gingiva

Hard palate

Retromolar trigone

Tongue

### OROPHARYNX

Soft palate

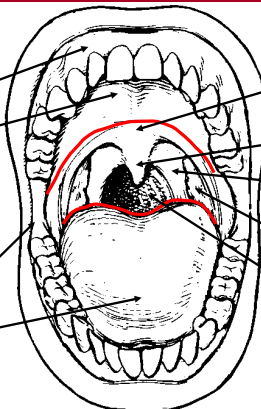
Uvula

Tonsillar pillar

Tonsil

Posterior wall of oropharynx

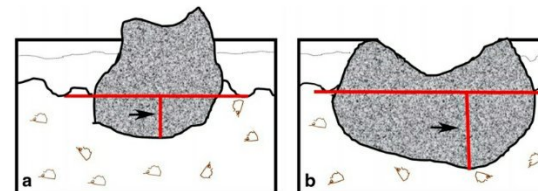
Not shown:  
Base of tongue



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## DOI? Depth of Invasion

- NOT same as tumor thickness!
- Imaginary line along basement membrane, then plumb line down to depth
- cT – docs will have to estimate DOI vs Tx



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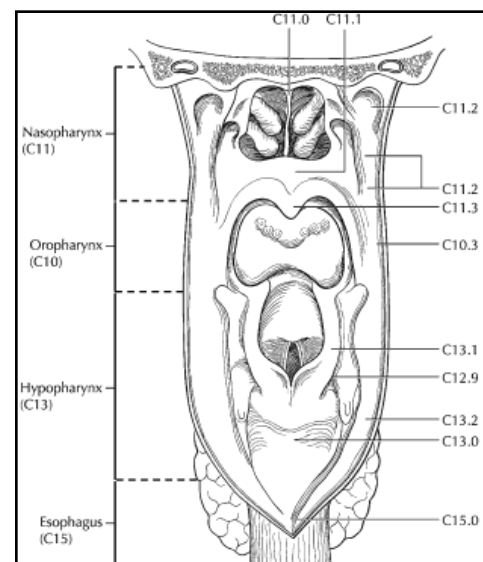
## Can We Do cT Based on Bx?

- "While a biopsy may not have complete DOI the clinician still needs to estimate the DOI using visual and palpable clues. To the extent possible, the clinician should disregard that aspect of the tumor that is exophytic and focus on DOI. Dentists may or may not stage but clinical stage should still include an assessment of DOI."

Dr. William Lydiatt, Vice Chair of the AJCC Head and Neck Expert Panel

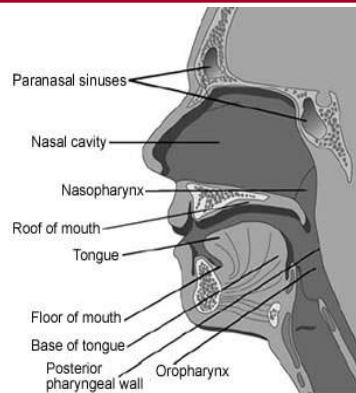
75

## Pharynx



AJCC Cancer Staging Atlas, 2nd ed., Springer-Verlag, 2012

## Nasopharynx



Behind nose,  
above soft palate

Connects nose to  
back of mouth  
- Breathe  
- Swallow mucus

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## Nasopharynx – Chapter 9

- Epithelial tumors only
- Unique table for LNs (same for cN and pN)
- Definition for T0 = No tumor ID'd, but EBV+ cervical LN(s) involved
  - If T0, assign appropriate N w/ site code C11.9
- EBV status irrelevant when tumor is identified
- 80% Asian countries
- Most tumors treated with radiation, pathological staging irrelevant

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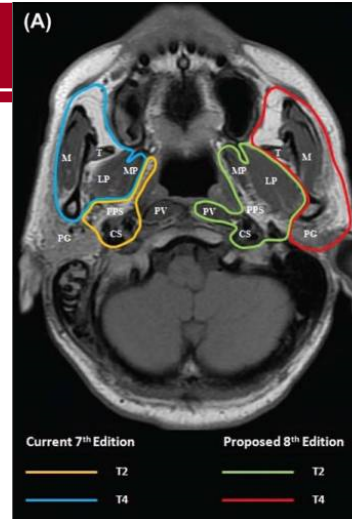
## Clinical and Pathological RLN Categories – Nasopharynx (Ch 9)

N	Criteria
NX	Regional LN cannot be assessed
N0	No regional LN mets
N1	Unilateral mets cervical LN, <b>and/or</b> unilateral/bilateral retropharyngeal LN, ≤ 6 cm, <b>above</b> caudal border of cricoid cartilage
N2	Bilateral mets in cervical LN, ≤ 6 cm, <b>above</b> caudal border of cricoid cartilage
N3	Unilateral or bilateral mets in cervical LN > 6 cm and/or extension <b>below</b> caudal border of cricoid cartilage

- N categories based on laterality (for N1 cervical LNs), location, and size.
- ENE does not matter.

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(A)



## Nasopharynx Differences 7<sup>th</sup> – 8<sup>th</sup>

Cancer. 2016 Feb 15; 122(4): 546–558. Published online 2015 Nov 20. doi:

10.1002/cncr.29795 Cancer.

80

## Case Scenario 2

CC: Swelling in neck and feeling of fullness in throat.  
 PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III. Remainder of external exam WNL.  
 X-rays and Scans: CXR: Negative. CT: Negative  
 Panendoscopy: No lesions identified.  
 Op Note: Bx Rt neck mass.  
 Path: Rt neck mass core bx: PD keratinizing squamous carcinoma involving LN; p16 (+), EBV (+).  
 Plan: XRT and chemotherapy for unknown primary of the head and neck involving bilateral LNs.

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## Pop Quiz

1. What AJCC Chapter?
  - a) Cervical LNs and Unknown Primary
  - b) Nasopharynx
  - c) HPV-Mediated Oropharynx
  - d) Oropharynx and Hypopharynx
2. What primary site code do we assign?
  - a) C10.9
  - b) C11.9
  - c) C14.8
  - d) C76.0

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## HPV-Mediated (p16+) Oropharynx – Chapter 10

- Squamous cell CA & subtypes only
- Younger, healthier, little or no tobacco exposure
- Better prognosis compared to tobacco-associated cancers
- No grading system for this chapter
- Unique tables for LN categories (separate tables for cN and pN)
- Must be **p16+** to use this chapter
- T0 category for p16+ cervical LNs with unknown head and neck primary
- No TX or Tis

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## Clinical & Pathological RLN Categories – HPV-Mediated OPC (Ch 10)

N	Clinical N Criteria	Pathological N Criteria
NX	RLN cannot be assessed	Regional LN cannot be assessed
N0	No RLN mets	No RLN mets
N1	≥ 1 ipsilateral RLN, ≤ 6 cm	Mets in ≤ 4 RLNs
N2	Contralateral or bilateral RLNs, ≤ 6 cm	Mets in > 4 RLNs
N3	LN(s) > 6 cm	

- **cN** based on LN **laterality and size**
- **pN** based on LN **number**
- ENE, laterality, or LN size don't matter
- Resected N3 behave same as N1, so no pN3 category

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## A Little About the p16 Biomarker

- Tumor suppressor protein (Cyclin-dependent kinase inhibitor 2A)
- Biomarker whose overexpression correlates with HR-HPV
- Tested using IHC
- Surrogate for HR-HPV DNA test because it is cheaper, more available, and interpretation is easily standardized

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## Oropharynx (p16-) and Hypopharynx – Chapter 11

- Oropharynx: squamous cancers and oropharyngeal cancers w/o p16 test or negative p16
- Hypopharynx: all histologies
- Minor salivary cancers and neuroendocrine carcinomas of either site
- No T0; both sites have TX and Tis
- Uses “standard” LN tables

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## Case Scenario 3

CC: Swelling in neck and feeling of fullness in throat.  
PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III. Remainder of external exam WNL.  
X-rays and Scans: CXR: Negative. CT: Negative  
Panendoscopy: No lesions identified.  
Op Note: Bx Rt neck mass.  
Path: Rt neck mass core bx: PD keratinizing squamous carcinoma involving LN; p16 (+), HPV (-) by ISH, EBV (-).  
Plan: XRT and chemotherapy for unknown primary of the head and neck involving bilateral LNs.

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## Pop Quiz

1. What AJCC Chapter?
  - a) Cervical LNs and Unknown Primary
  - b) Nasopharynx
  - c) HPV-Mediated Oropharynx
  - d) Oropharynx and Hypopharynx
2. What primary site code do we assign?
  - a) C10.9
  - b) C11.9
  - c) C14.8
  - d) C76.0

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## Oropharynx (p16-), Hypopharynx

### Clinical staging

- PE, especially palpation RLN
- Cranial nerve evaluation
- Endoscopy
- Imaging (CT, MRI, PET)

### Pathological staging

- Complete resection primary
- Neck dissection
  - Selective  $\geq 10$  LN
  - Radical/modified radical  $\geq 15$  LN
- ENE important

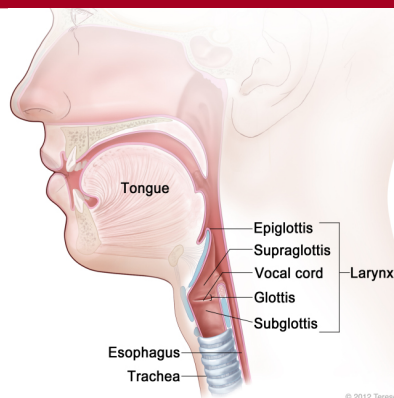
89

## Larynx – Chapter 13

- Carcinomas only
- Summary of Changes
  - Non EBV/HPV related occult primaries of head and neck removed from chapter
  - Uses "standard" LN tables

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## Larynx Subsites

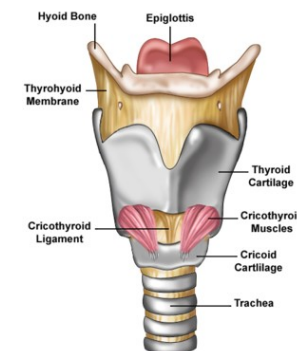


C10.1 Anterior (lingual) surface of epiglottis  
C32.1 Supraglottis  
C32.0 Glottis  
C32.2 Subglottis

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## Larynx Cartilages – coded C32.3 (NOT included in AJCC C32 staging)

- **Single**
  - Thyroid cartilage
    - aka Adam's apple
  - Cricoid cartilage
  - Epiglottis (C32.1)
- **Paired**
  - Arytenoid cartilage
  - Corniculate cartilage
  - Cuneiform cartilage



<http://www.gbmc.org/anatomyandphysiology>

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## Site Specific Data Items

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## Case Scenario 1 (for SSDIs)

CC: Swelling in neck and feeling of fullness in throat.  
 PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III. Remainder of external exam WNL.  
 X-rays and Scans: CXR: Negative. CT: Negative  
 Panendoscopy: No lesions identified.  
 Op Note: Bx Rt neck mass.  
 Path: Rt neck mass core bx: PD keratinizing squamous carcinoma involving LN; p16 (-), EBV (-).  
 Plan: XRT and chemotherapy for unknown primary of the head and neck involving bilateral LNs.

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## SSDI Schema Discriminator 1 Occult Head & Neck LN

- Chapter 6: Cervical LN & Unk Primary
- C76.0 = code (head & neck NOS, primary site unk)
  - Use Ch 6 and C76.0 IF
    - Cervical LN + (Level II or III)
    - P16 stain negative OR not done OR unknown
    - EBV stain negative OR not done OR unknown
  - Some situations require more specific primary site code
  - EBV+, code C11.9 nasopharynx, do NOT use discriminator
  - P16+ Code C10.9, do NOT use discriminator

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## SSDI Schema Discriminator 1 Occult Head & Neck LN

Code	Description	AJCC Chapter
0	Not occult	EOD/SS (III defined)
1	Occult, Neg cervical LN	EOD/SS (III defined)
2	Not tested for EBV or p16 (both unk)	Chap 6 Cerv LN, Unk Prim
3	Unk EBV, p16 negative	Chap 6 Cerv LN, Unk Prim
4	Unk p16, EBV negative	Chap 6 Cerv LN, Unk Prim
5	Negative for both EBV & p16	Chap 6 Cerv LN, Unk Prim
Blank	Not C760, discriminator does not apply P16 +, EBV unk or negative – code C10.9 EBV+, p16 unk or negative – code C11.9	Various  Chapter 10 HPV Mediated (p16+) Oropharynx Chapter 9 Nasopharynx

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## Schema Discriminator 1

Path: Rt neck mass core bx: PD keratinizing squamous carcinoma involving LN; p16 (-), EBV (-).

Schema Discriminator 1 \_\_\_\_\_

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## SSDI: ENE Clinical

- Chapters 6-14
- Clinical** staging time frame

Code	Description
0	Reg LN involved, ENE not present/not identified during workup
1	Reg LN involved, ENE present/identified during workup (based on PE WITH or W/O imaging)
2	Reg LN involved, ENE present/identified during workup, based on micro confirm
7	No LN involvement during workup (cN0)
8	N/A Info not collected for this case
9	Not documented in med record; ENE not assessed during workup or unk Clinical assessment LN not done, unk if done

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## ENE Clinical

CC: Swelling in neck and feeling of fullness in throat.

PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III. Remainder of external exam WNL.

ENE Clinical \_\_\_\_\_

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## SSDI: ENE Pathological

- Chapters 6-14
- Pathological** time frame – from path report of **resected** LN (NOT FNA, incisional, SLN)

Code	Description
0.0	LN positive CA but ENE not identified or neg
0.1 – 9.9	ENE 0.9 to 9.9 mm
X.1	ENE 10mm or greater
X.2	ENE microscopic, size unk. Stated as ENE (mi)
X.3	ENE major, size unk. Stated as ENE (ma)
X.4	ENE present, micro or major unk, size unk
X.7	Surgically resected reg LN negative (pN0)
X.8	N/A Info not collected for this case
X.9	Not documented in med record No surgical resection reg LN ENE not assessed path or unk if done Path assessment LN not done, unk if done

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## ENE Pathological

Path: Rt neck mass core bx: PD keratinizing squamous carcinoma involving LN

ENE Pathological \_\_\_\_

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## SSDI: LN Levels I - III

- Chapters 6, 14
- Drs statement can be used **if** no other info
- Path takes precedence over clinical
- If only some LN are described, code those

Code	Description
0	No involvement level I, II, or III
1	Level I LN involved
2	Level II LN involved
3	Level III LN involved
4	Levels I and II LN involved
5	Levels I and III LN involved
6	Levels II and III LN involved
7	Levels I, II, and III LN involved
8	N/A Info not collected
9	Not documented in med record LN + but level + LN unk LN I – III not assessed, unk if

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## SSDI: LN Levels I - III

PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III.

LN Levels I – III \_\_\_\_

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## SSDI: LN Levels IV - V

- Chapters 6, 14
- Drs statement can be used **if** no other info
- Path takes precedence over clinical
- If only some LN are described, code those

Code	Description
0	No involvement level IV or V
1	Level IV LN involved
2	Level V LN involved
3	Level IV and V LN involved
8	N/A Info not collected
9	Not documented in med record LN + but level + LN unk LN IV – V not assessed, unk if

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## SSDI: LN Levels IV - V

PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III.

LN Levels IV – V \_\_\_\_

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## SSDI: LN Levels VI - VII

- Chapters 6, 14
- Drs statement can be used if no other info
- Path takes precedence over clinical
- If only some LN are described, code those

Code	Description
0	No involvement level VI or VII
1	Level VI LN involved
2	Level VII LN involved
3	Level VI and VII LN involved
8	N/A Info not collected
9	Not documented in med record LN + but level + LN unk LN VI – VII not assessed, unk if

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## SSDI: LN Levels VI - VII

PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III.

LN Levels VI – VII \_\_\_\_

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## SSDI: LN Levels Other (NOT I – VII)

- Chapters 6, 14
- Drs statement can be used if no other info
- Path takes precedence over clinical
- If only some LN are described, code those

Code	Description
0	No involvement other Hd/Nk LN
1	Buccinator (facial) LN involved
2	Parapharyngeal LN involved
3	Periparotid & intraparotid LN
4	Pre-auricular LN involved
5	Retropharyngeal LN involved
6	Suboccipital/retroauricular LN
7	Any combo codes 1 – 6
8	N/A Info not collected
9	Not documented in med record LN + but level + LN unk Other Hd/Nk LN not assessed, unk if

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## SSDI: LN Levels Other

PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III.

LN Levels Other \_\_\_\_

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## SSDI: LN Size

- Chapters 6 – 15
- LN size = largest diameter of any involved RLN  
Path takes precedence over clinical

Code	Description
0.0	No involved reg LN
0.1 – 99.9	0.1 – 99.9 mm (exact size LN to nearest tenth mm)
XX.1	100 mm or greater
XX.2	Micro focus/foci only, no size
XX.3	Described as "< 1 cm"
XX.4	Described as "at least" 2 cm
XX.5	Described as "at least" 3 cm
XX.6	Described as "at least" 4 cm
XX.7	Described as "> 5 cm
XX.8	N/A, info not collected
XX.9	Not documented in med record Reg LN involved, size unk LN size not assessed or unk

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## SSDI: LN Size

PE: 3 cm mass in Rt neck; smaller mass in Lt neck; both at level III.

LN Size \_\_\_\_

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## Schema Discriminator 1: Nasopharynx/Pharyngeal Tonsil

- Chapter 10 (p16+) & 11 (p16 neg)
- Needed because of different chapters

Code	Description	AJCC Disease ID
1	Posterior wall nasopharynx, NOS	Chap 9 Nasopharynx
2	Adenoid Pharyngeal tonsil	Schema discriminator 2: Oropharyngeal p16
Blank	Primary site is NOT C11.1, discriminator not necessary	

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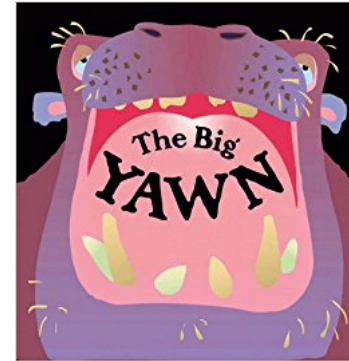
## Schema Discriminator 2: Oropharyngeal p16

- Chapter 10 (p16+) & 11 (p16 neg)
- Only p16 test used here. If another HPV test is done, code 9

Code	Description	AJCC Disease ID
1	p16 Negative, nonreactive	11.1 Oropharynx (p16-)
2	p16 Positive; HPV positive; diffuse, strong reactivity	10: HPV-mediated (p16+) Oropharynx
9	Not tested for p16; unknown	11.1 Oropharynx (p16-)

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And, we're done!



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